

06/15/01



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Attorney Docket No.: DAIN:498A  
 Date: June 15, 2001  
 Prior Application:  
 Examiner: John McPherson  
 Art Unit: 1756

COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231



Sir:

This is a request for filing a  
☒ Continuation ☐ Divisional (parent not abandoned)  
 application under 37 C.F.R. §1.53(b), of pending prior application Serial  
 No. 09/284,161, filed April 8, 1999  
 for [Title]: STRUCTURE FOR PATTERN FORMATION, METHOD FOR PATTERN FORMATION,  
AND APPLICATION THEREOF  
 by [Inventors]: Hironori KOBAYASHI, Manabu YAMAMOTO, Daigo AOKI, Hironori  
KAMIYAMA, Shinichi HIKOSAKA, and Mitsuhiro KASHIWABARA

1. ☒ A copy of the prior application is attached. This copy comprises a true copy of the prior application as filed (specification, claims, drawings, declaration). No amendments referred to in the declaration (if any) filed to complete the prior application introduced new matter therein.
2. ☒ The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS  
 CANCELLED BY AMENDMENT BELOW

Basic Fee  
 \$710.00 (\*385.00)

Total claims	135	- 20 = 115	x \$18.00 (* 9.00)	= 2,070.00
Independent claims	59	- 3 = 56	x \$80.00 (*40.00)	= 4,480.00
Total Filing Fee.....				= \$7,260.00

3. ☒ A check in the amount of \$7,340.00 is enclosed (Ck# 14123).  
 THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY OTHER FEES WHICH MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 16-0331. TWO DUPLICATE COPIES OF THIS FORM ARE ENCLOSED.
4. ☐ Cancel in this application original claims \_\_\_\_ of the prior application before calculating the filing fee. At least one original independent claim is retained for filing purposes.
5. ☒ Amend the specification by inserting before the first line the sentence:  
 --This is a ☒ Continuation ☐ Division of application Serial No. 09/284,161 filed April 8, 1999.--

PLEASE ACCEPT THIS AS  
 AUTHORIZATION TO DEBIT  
 OR CREDIT FEES TO  
 DEP. ACCT. 16-0331  
 PARKHURST & WENDEL

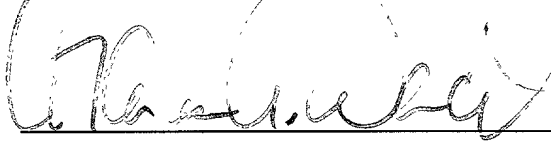
6. ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this form is enclosed for filing in the prior application file.
7. ☐ New ☐ Formal ☐ Informal drawings are enclosed: Fig(s). .
8. ☒ Priority of foreign application(s) No. 214845/1997 filed August 8, 1997; 300295/1997 filed October 31, 1997; 313041/1997 filed November 14, 1997; 100369/1998 filed March 27, 1998; 85955/1998 filed March 31, 1998; 86293/1998 filed March 31, 1998; 165392/1998 filed June 12, 1998; 167316/1998 filed June 15, 1998; and 183370/1998 filed June 15, 1998 in JAPAN is claimed under 35 U.S.C. §119.
- ☒ The certified copies were filed in PCT/JP98/03547.
- ☐ A certified copy of the above corresponding foreign application is filed herewith.
9. ☒ The prior application is assigned of record to DAI NIPPON PRINTING CO., LTD. Recorded at Reel 010009, Frame 0715.
10. ☒ The power of attorney in the prior application is to Roger W. Parkhurst, Registration No. 25,177 and Charles A. Wendel, Registration No. 24,453:
- ☒ a. The power appears in the original papers in the prior application.
- ☐ b. Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- ☒ c. Address all future communications to
- PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220
11. ☒ A Preliminary Amendment is enclosed. Claims added by this Amendment are properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.

12. ☒ An Information Disclosure Statement is enclosed.

\*13. ☐ Small entity status was established in the parent application via a verified statement filed on \_\_\_\_\_.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Charles A. Wendel

Registration No. 24,453

Rev. 10/00